

City of Memphis



TENNESSEE

DR. WILLIE W. HERENTON
MAYOR

June 17, 2008

The Honorable Shea Flinn
Chairman
Personnel, Intergovernmental & Annexation Committee
City Hall - Room 514

Dear Chairman Flinn:

Subject to Council approval, it is my recommendation that:

Cathy Simmons (*joint appointment*)

be appointed to the Memphis and Shelby County Parking Authority with a term
expiring December 31, 2009.

I have attached biographical information.

Sincerely,

Dr. Willie W. Herenton

WWH:jd

c: Council Members

1246 Island Place East
Memphis, TN 38103

Home 901.523.2121
Cell 901.292.9551
Work 901.758.9006
Fax 901.758.9007
E-mail cathys@legacywealth.com

Cathy Simmons

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- Summary** Investment and leadership professional with a strong background in private wealth management and regulatory compliance working in fast paced dynamic environments. Excellent communication and presentation skills with the ability to execute a variety of projects simultaneously.
- Professional Experience**
- Legacy Wealth Management**
Chief Compliance Officer
Certified Financial Planner™ August 1, 2000 to present
- Plan, execute and implement the firm's compliance processes and procedures.
 - Various management responsibilities that include performance appraisals, job descriptions, work flow analysis, coaching, recruitment and training of new staff; mergers and acquisitions.
- American Express Financial Advisors Inc.**
Financial Advisor August 1991 to July 31, 2000
- Various positions
- District Manager** 1994 to 1999
Quality of Advice Leader 1994 to 1999
Client Service Model Leader 1995 to 1999
Client Assignment Leader 1996 to 1999
First Year Advisor Leader 1997 to 1999
Veteran Advisor Leader 1998 to 1999
- Education** University of Memphis Memphis, TN
- MBA/Marketing 1988
 - BS/Business Administration 1985
- Licenses and Certificates**
- CFP® Certification July 2000
- Additional Training**
- Advanced training in charitable and planned giving by Renaissance Inc. of Indianapolis, IN
 - Media relations training by MBRK Inc. of Dallas, TX
 - Advanced risk management training by American Express
 - Seminar presentation training by American Express
- Technology**
- Microsoft Office (including Excel, Word, PowerPoint)
 - Advent Axys
 - Bloomberg
- Professional Memberships**
- Member of the Financial Planning Association
 - Member Society of Financial Service Professionals
 - Member Estate Planning Council
 - Member Planned Giving Council
 - Member Nazrudin
- Civic Activities**
- Vice President/President Elect Downtown Neighborhood Association
 - President Island View Homeowners Association
 - 2007 Graduate of the Leadership Memphis Executive Program
 - Adjunct Faculty Christian Brothers University

**Memphis and Shelby County
Parking Authority
7 Member Board
(3) City & (3) County (1) Joint Appointment
6 Year Term**

| Members | Address | Term Expires |
|--|---|--------------------------|
| Carrick, B. Lane M/W (City) | Home Address: 5830 Garden Oak Cove Memphis, TN 38120 Res: 901.685.5050 | December 31, 2009 |
| Horton, Odell M/B (City) | Home Address: 8607 Tree Court Memphis, TN Bus: 901-537.1082 Res: 901-758-0103 E-mail: ohorton@wyattfirm.com | December 31, 2010 |
| Stokes, John M/W (City) | Home Address: 108 E. Chickasaw Pkwy. Memphis, TN 38111 Bus: 901.529.5305 Res: 901.323.0533 E-mail: john.stokes.jr@morgankeegan.com | December 31, 2009 |
| Simmons, Cathy F/W (Joint Appointment) <i>New Appointment Replaces David J. Harris</i> | 1246 Island Place East Memphis, TN 38103 Res: 901.523.2121 Bus: 901.758.9007 Cell: 901.292.9551 E-mail: cathys@legacywealth.com | December 31, 2009 |

Also known as the Downtown Parking Authority.

**Attendance Records
Memphis and Shelby County
Downtown Parking Authority**

01/01/08 – 06/08/08

Total Meetings (1)

| Appointed Members | Total Present | Total Absent |
|---|----------------------|---------------------|
| Carrick, B. Lane <i>(City)</i> <i>Appointed 4/08</i> | 1 | 0 |
| Horton, Odell <i>(City)</i> | 1 | 0 |
| Stokes, John <i>(City)</i> | 0 | 1 |
| Vacant (joint appt.) | 0 | 0 |